15-18-01

PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE t the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/945.472 Application Number FRANSMITTAL August 30, 2001 Filing Date **FORM** First Named Inventor Daryle Lee Petersen d for all correspondence after initial filing) Group Art Unit 3763 **Examiner Name** n/a Total Number of Pages in This Submission Attorney Docket Number 11738.00015 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice (B)rief, Reply Brief) Proprietary Information Petition Routing Slip (PTO/SB/69) After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Form PTO-149 (2 pages) with Terminal Disclaimer cited references Express Abandonment Request Request for Refund Return Receipt Postcard CD, Number of CD(s) The Commissioner is hereby authorized to charge any Certified Copy of Priority Remarks deficiencies in payment or credit any overpayment to our Document(s) Deposit Account No. 01-0850. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Janice V. Mitrius Individual name Signature December 13, 2001 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature

Date

In the Application of:

Filed:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 11738.00015)

)

Daryle Lee Petersen

09/945,472 Serial No.

August 30, 2001

For:

CONVECTION ENHANCED DELIVERY CATHETER TO TREAT BRAIN AND

OTHER TUMORS

PATENT

Group Art Unit: 3763

Examiner: not assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents Washington, DC 20231

Dear Sir:

TECHNOLOGY CENTER RSTOO Pursuant to 37 C.F.R., 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R., 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO Form 1449.

REFERENCES

U.S. Patent Documents

U.S. Patent No. 5,720,720, issued February 24, 1998. 1.

Other Prior Art

Medtronic, Inc., SynchroMed Infusion System, "Optimizing Therapy Through 1. Programmability," 1995 (4 pages).

> Respectfully submitted, BANNER & WITCOFF, LTD

Dated: December 13, 2001

Reg. No. 43,808